# S. 382

To amend the Public Health Service Act to establish a State family support grant program to end the practice of parents giving legal custody of their seriously emotionally disturbed children to State agencies for the purpose of obtaining mental health services for those children.

# IN THE SENATE OF THE UNITED STATES

January 24, 2007

Ms. Collins (for herself, Mr. Harkin, Mr. Kennedy, Mr. Pryor, Mr. Coleman, Ms. Cantwell, Mr. Durbin, Ms. Mikulski, Mr. Bingaman, Mr. Lautenberg, and Mr. Kerry) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

# A BILL

To amend the Public Health Service Act to establish a State family support grant program to end the practice of parents giving legal custody of their seriously emotionally disturbed children to State agencies for the purpose of obtaining mental health services for those children.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "Keeping Families To-
- 5 gether Act".

#### 1 SEC. 2. PURPOSE.

- 2 It is the purpose of this Act to assist States in elimi-
- 3 nating the practice of parents giving custody of their seri-
- 4 ously emotionally disturbed children to State agencies for
- 5 the purpose of securing mental health care for those chil-
- 6 dren.

#### 7 SEC. 3. FAMILY SUPPORT GRANTS.

- 8 Title V of the Public Health Service Act (42 U.S.C.
- 9 290aa et seq.) is amended—
- 10 (1) by redesignating the second part G (relating
- 11 to services provided through religious organizations)
- as part J;
- 13 (2) by redesignating sections 581 through 584
- of part J (as so redesignated) as sections 596
- through 596C, respectively; and
- 16 (3) by adding at the end the following:

## 17 **"PART K—FAMILY SUPPORT**

### 18 "SEC. 597. FAMILY SUPPORT GRANTS.

- 19 "(a) IN GENERAL.—The Secretary, acting through
- 20 the Administrator and in consultation with the task force
- 21 established under section 597A, is authorized to award
- 22 competitive grants to States to enable such States to es-
- 23 tablish systems of care to treat and provide services to
- 24 all eligible children and youth. The Secretary shall ensure
- 25 that the amount awarded to each grantee is sufficient to

1	enable the grantee to accomplish the purposes of the
2	grant.
3	"(b) Eligibility.—To be eligible for a grant under
4	subsection (a) a State shall—
5	"(1) have laws or policies in effect to ensure
6	that children receive appropriate mental health serv-
7	ices so that parents do not have to relinquish legal
8	custody of such children;
9	"(2) submit to the Secretary an application
10	from the Governor in accordance with subsection (c);
11	"(3) provide assurances that the State will pro-
12	vide matching funds in accordance with subsection
13	(e); and
14	"(4) meet such other requirements as the Sec-
15	retary determines appropriate.
16	"(c) Application.—
17	"(1) In general.—An application submitted
18	for a grant under this section shall include—
19	"(A) a brief description of the system of
20	care that the State intends to establish with
21	amounts received under the grant to ensure
22	that eligible children and youth and their fami-
23	lies receive the appropriate individualized men-
24	tal health treatment and family support services
25	necessary to keep such families together;

1	"(B) a description of the process by which
2	the State will formulate a State plan that meets
3	the requirements of paragraph (2), including
4	participants, timelines, and any previous or on-
5	going efforts related to the establishment of a
6	statewide system;
7	"(C) an estimate of the number of eligible
8	children and youth in the State, and the num-
9	ber of eligible children and youth who will be
10	served under the grant;
11	"(D) a description of existing systems of
12	care in the State (including systems funded
13	under section 561) and existing interagency col-
14	laboration that demonstrates a foundation on
15	which the State can build a system of care
16	under a grant under this section;
17	"(E) a brief description of the manner in
18	which services for all eligible children and youth
19	are expected to be funded under the system es-
20	tablished by the State under the grant;
21	"(F) a description of children's mental
22	health services capacity in the State and the
23	steps that will be taken, if necessary, to ensure
24	that adequate capacity exists to implement the

proposed system of care;

1	"(G) a description of the source of the
2	State matching funds; and
3	"(H) other information as required by the
4	Secretary.
5	"(2) State plan.—Prior to receiving funds
6	under the grant for the second grant year, a State
7	shall submit to the Secretary and the Secretary shall
8	approve, a State plan that—
9	"(A) is developed through a collaborative
10	process that includes the required State part-
11	ners as represented by senior officials with pol-
12	icymaking authority, the required private part-
13	ners, and other entities that the governor of the
14	State determines appropriate;
15	"(B) contains a description and assess-
16	ment of the effectiveness of the laws or policies
17	that the State has in effect to ensure that chil-
18	dren receive appropriate mental health services
19	and that parents do not have to relinquish legal
20	custody of such children in order to obtain such
21	services;
22	"(C) contains a description of the services
23	to be provided to eligible children and youth
24	and the sources of such services, including the

extent to which the State will build upon existing systems of care within the State;

"(D) contains a description of the procedures to be implemented for the early identification, assessment, and referral, by health care providers, mental health agencies, other child-serving entities, child welfare, corrections, and juvenile justice systems, of all eligible children and youth for appropriate care and for coordinating services among child welfare, juvenile justice, and child mental health agencies, including co-location of services as appropriate;

- "(E) describes any legislative changes that are required to implement the State plan;
- "(F) describes how the State screens children and youth entering the juvenile justice and child welfare systems for mental health problems, including the State's mental health screening procedures as part of the early and periodic screening, diagnostic, and treatment services described in section 1905(r) of the Social Security Act that are provided under the medicaid programs;

1	"(G) contains a description of the plan of
2	the State for ensuring that there will be ade-
3	quate capacity to serve all eligible children;
4	"(H) contains a description of the plan of
5	the State for financing the system of care devel-
6	oped under the grant, including—
7	"(i) the manner in which the State
8	will use—
9	"(I) contributions from State
10	agencies;
11	"(II) State eligibility options or
12	waivers authorized with respect to the
13	State medicaid program such as those
14	authorized under sections 1902(e)(3)
15	and 1915(c) of the Social Security
16	$\operatorname{Act};$
17	"(III) the State Children's
18	Health Insurance Program under title
19	XXI of the Social Security Act (in-
20	cluding an assurance that grant funds
21	will not be used as a State match
22	under the medicaid or SCHIP pro-
23	grams); and
24	"(IV) other public health insur-
25	ance mechanisms; and

1	"(ii) how Federal grant dollars will be
2	used to enable the State to achieve a sus-
3	tainable system of care to serve all eligible
4	children and youth;
5	"(I) contains a description of how the
6	State will, with respect to providing mental
7	health treatment and services to eligible chil-
8	dren and youth, provide outreach services to
9	families of such children and youth, provide for
10	public educational activities, and involve fami-
11	lies of such children and youth in such treat-
12	ment and services; and
13	"(J) establishes a method for tracking and
14	reporting the number of children and youth en-
15	tering child welfare and juvenile justice systems
16	with significant mental health problems.
17	"(3) Priority.—In awarding grants under this
18	section, the Secretary shall give priority to States—
19	"(A) that have a history of developing and
20	supporting local or statewide systems care and
21	of successful interagency collaboration;
22	"(B) that have taken steps to broaden ac-
23	cess to community-based services for children
24	with serious emotional disturbances;

1	"(C) that have provided reasonable esti-
2	mates of the numbers of eligible children and
3	youth;
4	"(D) that have sufficient mental health
5	service capacity or specific plans for sufficiently
6	increasing mental health services capacity to
7	successfully implement the proposed system of
8	care;
9	"(E) in which the governor's office will
10	play a leading role in the formulation of the
11	State plan required under paragraph (2); and
12	"(F) that will involve State juvenile and
13	family court judges in the planning and over-
14	sight of the system of care.
15	"(d) Use of Funds.—A State shall use amounts re-
16	ceived under a grant under this section to—
17	"(1) establish State- and local-level infrastruc-
18	ture to allow for interagency cooperation and cross
19	system financing to—
20	"(A) support the purchase and delivery of
21	a comprehensive array of community-based
22	mental health and family support services to all
23	eligible children and youth and their families;

1	"(B) decrease categorical funding struc-
2	tures and eliminate inter-agency fragmentation
3	of services; and
4	"(C) increase the capacity of the State and
5	local agencies to share, among and between
6	such respective agencies, public resources and
7	improve parental access to services for children
8	with mental health needs to eliminate the need
9	to relinquish custody of their children in order
10	for such children to receive treatment for such
11	needs;
12	"(2) expand public health insurance programs
13	to cover a comprehensive array of community-based
14	mental health and family support services for eligible
15	children and youth and their families that will be
16	sustainable after the grant has expired;
17	"(3) deliver mental health care and family sup-
18	port services to eligible children and youth and their
19	families as part of a transition to a sustainable sys-
20	tem of care for such children and youth;
21	"(4) provide outreach and public education con-
22	cerning programs and activities funded under this
23	section;
24	"(5) provide training and professional develop-
25	ment for personnel who work with eligible children

1	and youth as required to successfully implement the
2	State plan; and
3	"(6) carry out other administrative activities re-
4	lated to the programs and activities carried out
5	under the grant, including the development and
6	maintenance of data systems.
7	"(e) Matching Funds.—
8	"(1) In general.—A State that receives a
9	grant under this section shall, with respect to the
10	costs to be incurred by the State in carrying out the
11	purpose for which the grant is awarded, make avail-
12	able non-Federal contributions toward such costs in
13	an amount that—
14	"(A) for the third fiscal year for which the
15	entity receives payments from a grant under
16	such subsection, is not less than \$1 for each \$2
17	of Federal funds provided in the grant;
18	"(B) for the fourth such fiscal year, is not
19	less than \$1 for each \$1 of Federal funds pro-
20	vided in the grant; and
21	"(C) for the fifth and sixth such fiscal
22	years, is not less than \$2 for each \$1 of Fed-
23	eral funds provided in the grant.
24	"(2) Determination of amount contrib-
25	UTED.—Non-Federal contributions required in para-

- 1 graph (1) may be in cash or in kind, fairly evalu-
- 2 ated, including plant, equipment, or services.
- 3 Amounts provided by the Federal Government, or
- 4 services assisted or subsidized to any significant ex-
- 5 tent by the Federal Government, may not be in-
- 6 cluded in determining the amount of such non-Fed-
- 7 eral contributions.
- 8 "(3) ACCOUNTING REQUIRED.—With respect to
- 9 a State that complies with the requirement of para-
- graph (2) through the provision of in-kind contribu-
- tions, such State shall provide the Secretary with an
- accounting that describes the value of such in-kind
- contributions.
- 14 "(f) Limitation on Use for Administrative
- 15 Costs.—Not more than 5 percent of the amount that a
- 16 State receives under a grant under this section shall be
- 17 used for administrative costs.
- 18 "(g) Payments.—Grants under this section shall be
- 19 payable over a 6-year period.
- 20 "(h) Reporting Requirements.—
- 21 "(1) IN GENERAL.—Secretary, acting through
- the Administrator and in consultation with the task
- force established under section 597A, shall require
- 24 States to report information that is appropriate to
- permit an assessment to be made of the success of

1	States in the implementation of programs under this
2	section. Such information shall, at a minimum, in-
3	clude—
4	"(A) the number of eligible children and
5	youth in foster care;
6	"(B) the number of eligible children and
7	youth in residential treatment centers;
8	"(C) appropriate information concerning
9	the participation and academic progress of eligi-
10	ble children and youth in school;
11	"(D) measures of the contacts of eligible
12	children and youth with juvenile justice sys-
13	tems;
14	"(E) measures of the clinical improvement
15	of eligible children and youth; and
16	"(F) information concerning the level of
17	satisfaction of eligible children and youth and
18	their families with services received.
19	"(2) Annual report by states.—Beginning
20	with the second fiscal year in which a State receives
21	funding under a grant under this section, the State
22	shall annually report to the Secretary on the success
23	of the programs and activities carried out by the
24	State under the grant. Such reports shall include the

information specified in paragraph (1) and other information required by the Secretary.

# "(3) Reports by the secretary.—

"(A) IN GENERAL.—Not later than 3 years after the date of enactment of this part, the Secretary shall submit to Congress a report on the success of States in using grants under this section to eliminate children and youth custody relinquishment solely to obtain mental health care. Such report shall include recommendations to strengthen the program under this section.

- "(B) FINAL REPORT.—Not later than 6 years after the date of enactment of this part, the Secretary shall submit to Congress a final report on the success of States in using grants under this section to eliminate children and youth custody relinquishment solely to obtain mental health care.
- "(i) TECHNICAL ASSISTANCE.—The Secretary, in 21 consultation with the task force established under section 22 597A, may provide technical assistance to States in car-23 rying out programs and activities under this section. The 24 Secretary shall use not more than 20 percent of the 25 amount appropriate for each fiscal year, not to exceed

- 1 \$5,000,000 in any such fiscal year, to carry out this sub-
- 2 section and shall coordinate technical assistance under
- 3 this section with other technical assistance programs as
- 4 appropriate.

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- 5 "(j) DEFINITIONS.—In this section:
- 6 "(1) ELIGIBLE CHILDREN AND YOUTH.—The
  7 term 'eligible children and youth' means children
  8 and youth under the age of 21 years who are in the
  9 custody of the State for the purpose of receiving
  10 mental health services or at-risk of entering into the
  11 custody of the State for the purpose of receiving
  12 mental health services.
  - "(2) Family support services' means individualized services that are designed with input from the family and provided to eligible children and youth and their families to promote the mental health of an eligible child or youth, to strengthen the ability of family members to care for an eligible child or youth, or to enable an eligible child or youth to take advantage of other treatment and family support services.
  - "(3) REQUIRED PRIVATE PARTNERS.—The term 'required private partners' includes—
- "(A) representatives of families of seriously
   emotionally disturbed children;

1	"(B) representatives of mental health care
2	providers;
3	"(C) representatives of private health in-
4	surers; and
5	"(D) representatives of hospitals and resi-
6	dential care facilities.
7	"(4) REQUIRED STATE PARTNERS.—The term
8	'required State partners' with respect to a State in-
9	cludes—
10	"(A) the State agency responsible for chil-
11	dren's mental health;
12	"(B) the State child welfare agency;
13	"(C) the State juvenile justice agency;
14	"(D) the State Medicaid agency;
15	"(E) the State education agency;
16	"(F) the State substance abuse agency;
17	"(G) the State bureau of insurance; and
18	"(H) the office of the Governor of the
19	State.
20	"(5) State.—The term 'State' includes, in ad-
21	dition to the several States, the District of Colum-
22	bia, the Commonwealth of Puerto Rico, the Com-
23	monwealth of the Northern Mariana Islands, the
24	Virgin Islands, Guam, American Samoa, the Trust
25	Territory of the Pacific Islands, and Indian tribes.

- 1 "(k) AUTHORIZATION OF APPROPRIATIONS.—There
- 2 are authorized to be appropriated to carry out this section,
- 3 \$8,500,000 for fiscal year 2008, \$11,500,000 for fiscal
- 4 year 2009, and \$20,000,000 for each of fiscal years 2010
- 5 through 2013.

#### 6 "SEC. 597A, TASK FORCE.

- 7 "(a) Establishment.—The Administrator, in con-
- 8 junction with the Director of the Office of Juvenile Justice
- 9 and Delinquency Prevention, the Administrator of the Ad-
- 10 ministration for Children and Families, the Administrator
- 11 of the Centers for Medicare & Medicaid Services, and the
- 12 Assistant Secretary of Education for Special Education,
- 13 shall establish and staff a task force to examine problems
- 14 of mental health in the child welfare and juvenile justice
- 15 systems and issues with respect to access by children and
- 16 youth to mental health services, and the role of their agen-
- 17 cies in promoting access by children and youth to mental
- 18 health services.
- 19 "(b) Duties.—The task force established under sub-
- 20 section (a) shall—
- 21 "(1) work with mental health and child advo-
- cates, representatives of families of eligible children
- and youth, and representatives of State systems of
- care to make recommendations to Congress con-
- cerning strategies to improve the delivery of mental

- health services, including prevention services, to children and youth with serious emotional disturbances, including those who are at risk of dropping out of school or at risk of coming in contact with child welfare and juvenile justice systems;
  - "(2) work with mental health and child advocates, representatives of families of eligible children and youth, and representatives of State systems of care to develop improved reporting requirements for States concerning the number of children and youth entering child welfare and juvenile justice systems solely to access mental health services;
  - "(3) in consultation with States and appropriate stakeholders, create standard definitions for the categories of data to be collected on such children and youth;
  - "(4) foster interagency cooperation to eliminate the practice of custody relinquishment;
  - "(5) provide advice to the Administrator in implementation of the family support grant programs under section 597;
  - "(6) coordinate and deliver technical assistance to States and State agencies to help implement programs under such grant program;

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"(7) make recommendations to break down barriers to coordination in existing Federal programs and to allow for more effective integration across agencies and programs; and

"(8) provide a biannual report to Congress on its recommendations and its progress in carrying out its duties, ending the practice of parents relinquishing legal custody of their children with serious emotional disturbances in order to obtain mental health services, and improving the delivery of mental health services to children with serious emotional disturbances.

"(c) AUTHORIZATION OF APPROPRIATIONS.—There 14 are authorized to be appropriated to carry out this section, 15 \$1,000,000 for each of fiscal years 2008 through 2013. 16 Of the amount appropriate for each fiscal year under this 17 subsection, 60 percent of such amount shall be made available to the Secretary, 20 percent of such amount shall 18 be made available to the Attorney General, and 20 percent 19 20 of such amount shall be made available to the Secretary

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of Education.".